

## Introduction

- 3D-ICs are expected to further improve chip performance after Moore's Law reaches its limits.
- The placement stage is more critical in 3D-IC flow than ever as it is a major contributor to further improvements in chip performance.
- Existing work is difficult to deal with the current complex process constraints and it is difficult to consider comprehensive objectives.

## Problem Statement

The coordinate of the cell  $c_i$  is denoted by  $(x_i, y_i, z_i)$ , where  $z_i \in \{0, 1\}$ . And  $e_j \in E$  is crossing net if and only if it has both top and bottom cells. We note the bottom part as  $e_j^-$  and the top as  $e_j^+$ . In addition,  $T = \{t_1, t_2, \dots, t_m\}$  represents the set of terminals used by crossing nets.  $(x_{t_j}, y_{t_j})$  denotes the coordinate of terminal  $t_j$ . We use  $WL_t(e_j, \cdot)$  and  $WL(e_j, \cdot)$  to represent the wirelength of net  $e_j$  in the 3D and 2D, respectively. Their relationship is defined as Eq. (1), and  $\mathbf{x}_{e_j} = (\mathbf{x}, \mathbf{y}, x_{t_j}, y_{t_j})$ .

$$WL_t(e_j; \mathbf{x}, \mathbf{y}, \mathbf{z}, x_{t_j}, y_{t_j}) = \begin{cases} WL(e_j^- \cup \{t_j\}; \mathbf{x}_{e_j}) + WL(e_j^+ \cup \{t_j\}; \mathbf{x}_{e_j}) & \varepsilon(e_j; \mathbf{z}) = 1; \\ WL(e_j; \mathbf{x}, \mathbf{y}) & \varepsilon(e_j; \mathbf{z}) = 0, \end{cases} \quad (1)$$

where  $\varepsilon(e; \mathbf{z}) = \max_{c_i \in e} (z_i) - \min_{c_i \in e} (z_i)$ , and  $\mathbb{I}(\cdot)$  is indicator function.

Therefore, the original D2D placement problem can be formalized as the optimization problem shown in Eq. (2)

$$\begin{aligned} \min_{\mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{x}_t, \mathbf{y}_t} \quad & \sum_{e_j \in E} WL_t(e_j; \mathbf{x}, \mathbf{y}, \mathbf{z}, x_{t_j}, y_{t_j}) + \rho \varepsilon(e; \mathbf{z}), \\ \text{s.t.} \quad & D_b(\mathbf{x}, \mathbf{y}, \mathbf{x}_t, \mathbf{y}_t, \mathbf{z}) \leq M_b, \quad \forall b \in S_b, \\ & \sum_{i=1}^n A_1(c_i) \mathbb{I}(z_i) \leq u_t A, \\ & \sum_{i=1}^n A_0(c_i) \mathbb{I}(1 - z_i) \leq u_b A, \\ & \sum_{e_j \in E} \varepsilon(e_j; \mathbf{z}) \leq N_t. \end{aligned} \quad (2)$$

## Bilevel Programming

For the upper-level objective function  $F: \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}$  and lower-level objective function  $f: \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}$ , the bilevel programming problem is given by

$$\begin{aligned} \min_{x_u \in X_U, x_l \in X_L} \quad & F(x_u, x_l) \\ \text{s.t.} \quad & x_l \in \arg \min_{x_l \in X_L} \{f(x_u, x_l)\} \\ & g_j(x_u, x_l) \leq 0, \quad j = 1, \dots, J \\ & G_k(x_u, x_l) \leq 0, \quad k = 1, \dots, K, \end{aligned}$$

where  $G_k: \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}$ ,  $k = 1, \dots, K$  denote the upper-level constraints, and  $g_j: \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}$  represent the lower-level constraints, respectively. Equality constraints may also exist that have been avoided for brevity.

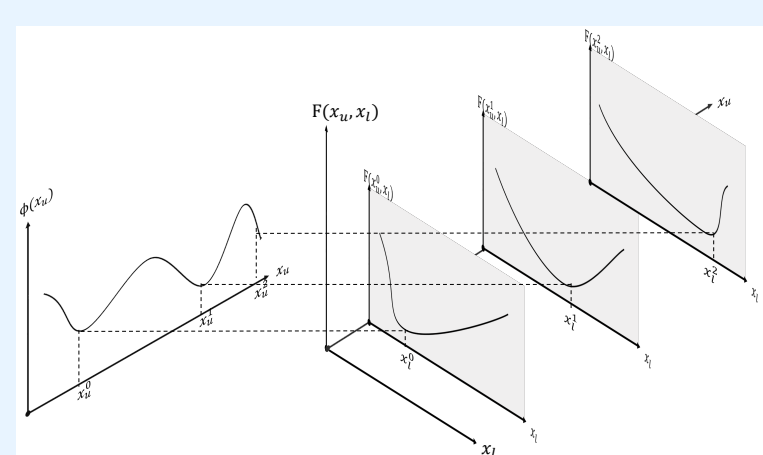
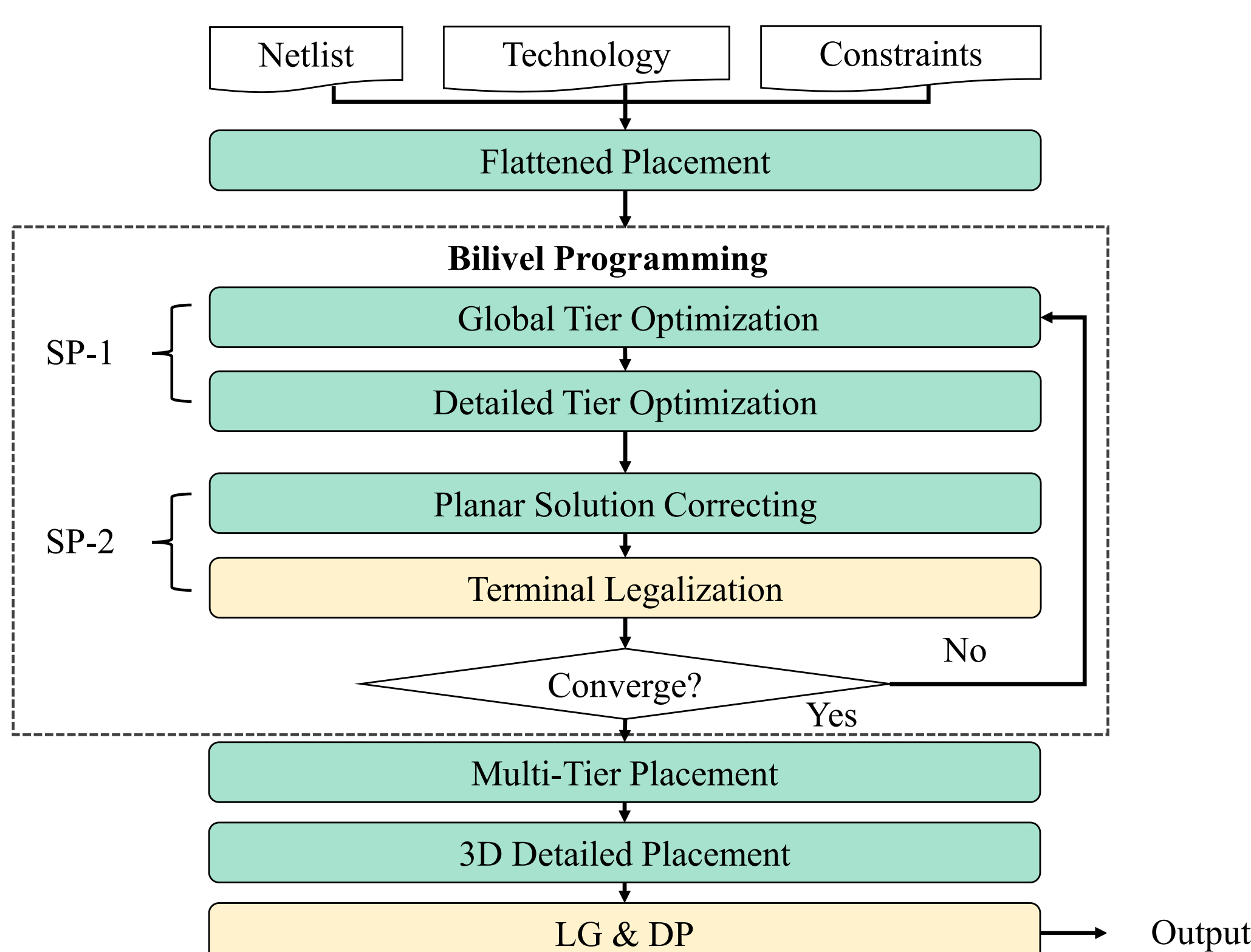


Figure 1. Graphical representation for optimal value function  $\phi(\cdot)$  when the lower subproblem was solved.

## Overall Flow - iPL-3D



## Bilevel Programming Model for D2D Placement

### Important Observation

- There is a natural dominance relationship among decision variables.
- Once  $z$  is determined, the remaining part is similar to the traditional 2D Placement problem.
- Traditional min-cut-based methods struggle to obtain a global view

### Modeling

- The upper level variable corresponds to  $\mathbf{z}$ .
- The lower level variable corresponds to  $\mathbf{x}_l = (\mathbf{x}, \mathbf{y}, \mathbf{x}_t, \mathbf{y}_t)$ .
- The objective function can be rewrite as:  $F(\mathbf{z}, \mathbf{x}_l) = WL(\cdot) + \rho \varepsilon(\cdot)$
- The lower level problem can be defined as:

$$\begin{aligned} g(\mathbf{z}) &= \min_{\mathbf{x}_l} \{F(\mathbf{x}_l, \mathbf{z}) \mid D_b(\mathbf{x}_l, \mathbf{z}) \leq M_b, \forall b \in S_b\} \\ \Psi(\mathbf{z}) &= \arg \min_{\mathbf{x}_l} \{F(\mathbf{x}_l, \mathbf{z}) \mid D_b(\mathbf{x}_l, \mathbf{z}) \leq M_b, \forall b \in S_b\} \end{aligned}$$

- There is a tautology:  $\forall \mathbf{x}_l^* \in \Psi(\mathbf{z}), g(\mathbf{z}) = F(\mathbf{x}_l^*, \mathbf{z})$ . Then we use  $g(\mathbf{z})$  replace the original objective function  $F(\mathbf{x}_l^*, \mathbf{z})$ . The original problem can be rewritten as Eq. 3.

$$\begin{aligned} \min_{\mathbf{z}, \mathbf{x}_l} \quad & g(\mathbf{z}) \\ \text{s.t.} \quad & \mathbf{x}_l \in \Psi(\mathbf{z}) \\ & \sum_{i=1}^n A_1(c_i) \mathbb{I}(z_i) \leq u_t A \\ & \sum_{i=1}^n A_0(c_i) \mathbb{I}(1 - z_i) \leq u_b A \\ & \sum_{e_j \in E} \varepsilon(e_j; \mathbf{z}) \leq N_t \end{aligned} \quad (3)$$

### Solve Tow Subproblems Alternately

- The variable  $\mathbf{x}_l$  does not appear in other constraints and objectives.
- To solve efficiently, we split the original problem and introduce a surrogate function.

$$\begin{aligned} \min_{\mathbf{z}} \quad & \hat{g}(\mathbf{x}_l^k, \mathbf{z}) \\ \text{s.t.} \quad & \sum_{i=1}^n A_1(c_i) \mathbb{I}(z_i) \leq u_t A \\ & \sum_{i=1}^n A_0(c_i) \mathbb{I}(1 - z_i) \leq u_b A \\ & \sum_{e_j \in E} \varepsilon(e_j; \mathbf{z}) \leq N_t \end{aligned} \quad (4) \quad \mathbf{x}_l^{k+1} = Proj(\mathbf{x}_l^k) \quad \Psi(\mathbf{z}^{k+1}) \quad (5)$$

## Flattend Placement

- Motivation:** A high-quality solution can also provide sufficient information for the surrogate function  $\hat{g}(\mathbf{x}_l, \mathbf{z})$
- Method:** Place all standard cells in one layer and double the capacity of the bin. Then solve the global placement problem to obtain  $\mathbf{x}_{2D}$
- Theorem:** The quality of the optimal planar solution obtained from Flattened Placement is the upper bound for the final 3D solution.

$$WL(x_{2D}^*) \leq WL(x_{2 \rightarrow 3D}) \leq WL(x_{3D}^*)$$

## Tier Optimization

### Motivation

- Consider MIV Density & Wirelength Partitioning:** Changes in the vertical coordinates not only affect the number of terminals but also lead to additional wirelength changes caused by terminals.
- Optimized from two perspectives of coarse-grained and fine-grained:** Coarse-grained can provide a relatively good initial solution, while fine-grained can further refinement.

### Global Tier Optimization

- Best Improvement Algorithm:** The gain is maintained using a priority queue, and the candidate cell with the largest gain is iteratively selected for tier changing.
- Parameterized Comprehensive Surrogate Function:** When  $\gamma$  is sufficiently large, select the region with the highest density, and sort the remaining parts within the region based on their weights.

$$\begin{aligned} p(S \cup \{c_i\}) - p(S) &= \Delta \text{wirelength} + \rho \Delta \# \text{Terminal} \\ &+ \alpha (d(S \cup \{c_i\}) - d(S)) \\ &+ \beta (\alpha(S \cup \{c_i\}) - \alpha(S)) \\ &- \gamma d(S), \end{aligned} \quad (6a)$$

where

$$\begin{aligned} d(S) &= \sum_{\text{region } r} \max(\frac{A_r - M_r}{h_r}, 0), \\ \alpha(S) &= \sum_{i=1}^n \sum_{c_j \in \{c_i\} \vee c_j \in V, z_j = z_i} \frac{\text{Overlap}(c_j, c_i)}{h_{c_i}} \end{aligned} \quad (6b)$$

- Knapsack maximization like priority calculation:**

## Tier Optimization

### Detailed Tier Optimization

- Dynamic Row-based Data Structure:** Implement the insertion and deletion of units at any position in a row.
- Simple 3D Detailed Placement:** Quickly generate legal solutions and calculate actual gains.

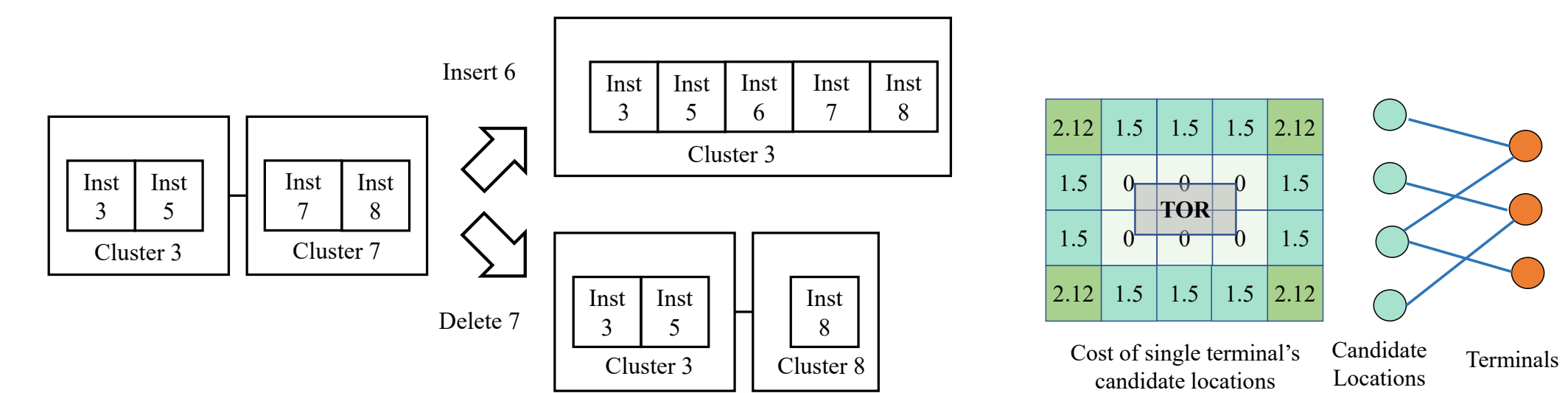


Figure 2. Example for Row-based Data-structure.

Figure 3. Example for Terminal Legalization.

## Terminal Legalization

### Problem Characteristics

- Terminals are of the same size.
- Cost calculation is independent.

### Solving

- Bipartite Graph Matching:** Select  $k$  candidate positions around each terminal in its optimal region. Then, network simplex algorithm is performed to do bipartite graph matching between terminal and candidate locations.
- Lower Bound:**  $WL(x_{real}^*) \leq WL(x_{grid}^*) + 2C \# \text{terminal}$ .

## Experimental Results

- Compared to the top three competitors, there is an improvement in wirelength of 4.33%, 4.42%, and 5.88%, respectively. The speed is 1.84x faster than the first-place competitor.
- #Terminals used is the lowest, with improvements of 79.61%, 16.74%, and 15.76% compared to the top three competitors.
- The final result shows an increase in wirelength of 7.63% compared to Flatten GP (Theorem 1).

Table 1. Experimental Results on ICCAD 2022 Contest Benchmarks.

Case	Flattened		3th		2nd		1st		Ours				
	HPWL	#Terminal CPU(s)	HPWL	#Terminal CPU(s)	HPWL	#Terminal CPU(s)	HPWL	#Terminal CPU(s)	HPWL	#Terminal CPU(s)			
case2	1758214	2097487	163	10	2080647	477	14	2072075	1131	45	1992499	461	45
case2_h	2111322	2644791	151	9	2735158	687	15	2555461	1083	40	2530195	658	53
case3	26474613	33063568	14788	145	30969011	11257	437	30580336	16820	635	30234112	9612	442
case3_h	24200040	28372567	11211	133	27756492	8953	482	27650329	16414	412	26939286	8203	479
case4	248129463	281378079	46468	925	274026687	51480	3284	281315669	84069	2580	267381744	43140	1078
case4_h	272085522	307399565	58860	983	308359159	59896	3283	301193374	84728	2239	289541474	51641	1144
N.Total	-7.63%	5.88%	15.76%	0.68	4.42%	16.74%	2.32	4.33%	79.61%	1.84	0.00%	0.00%	1.00

Table 2. Results With and Without Alternating Optimization

Case	w/o. Alternating Optimization		w/ Alternating Optimization	
	HPWL	#Terminal CPU(s)	HPWL	#Terminal CPU(s)
case2	2032655	555	1992499	461
case2_h	2562890	793	2530195	658
case3	30332531	10604	30234112	9612
case3_h	26935732	9288	26939286	8203
case4	270042122	54112	267381744	43140
case4_h	294923683	63283	289541474	51641
N.Total	1.33%	21.91%	0.48	0.00%

Table 3. Terminal Legalization Experimental Results.

Case	C	#Terminal	WL	CPU(s)	TOR	Ratio
case2	200	461	1992499	1	1981785	0.54%
case2_h	228	658	2530195	1	2512837	0.69%
case3	100	9612	30234112	7	30141038	0.31%
case3_h	92	8203	26939286	5	26875050	0.24%
case4	124	43140	267381744	15	266850007	0.20%
case4_h	132	51641	289541474	16	288659033	0.30%

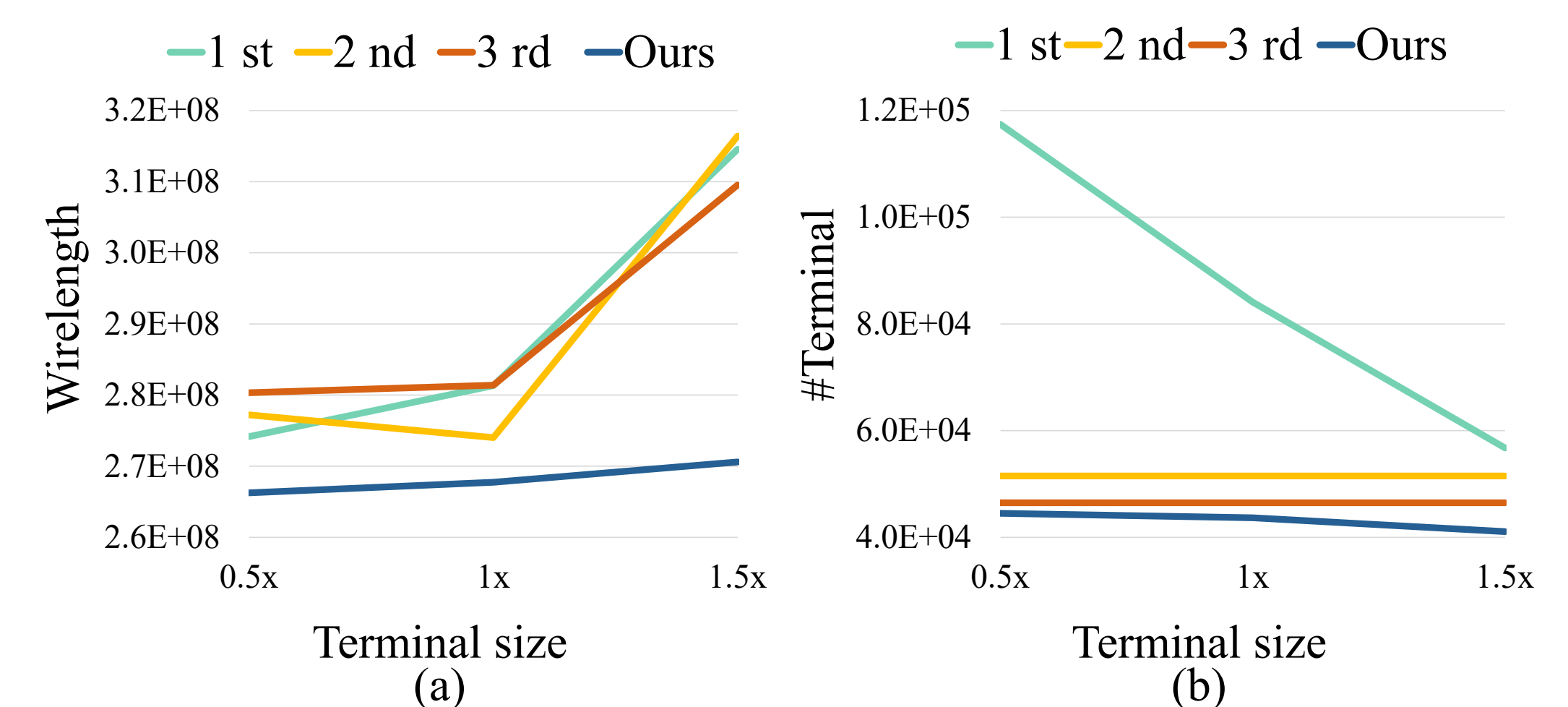


Figure 4. Extra experiments of different terminal pitch (Case4).

## References

- [1] Kai-Shun Hu, I-Jye Lin, Yu-Hui Huang, Hao-Yu Chi, Yi-Hsuan Wu, and Chin-Fang Cindy Shen. 2022. ICCAD CAD contest problem B: 3D placement with D2D vertical connections. In *Proceedings of ICCAD*, pages 1–3. IEEE, 2022.